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DEFORMATIONS OF BATALIN–VILKOVISKY ALGEBRAS.

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To the memory of Stanisław Zakrzewski

Abstract. We show that a graded commutative algebra A with any square zero odd differential operator is a natural generalization of a Batalin–Vilkovisky algebra. While such an operator of order 2 defines a Gerstenhaber (Lie) algebra structure on A , an operator of an order higher than 2 (Koszul–Akman definition) leads to the structure of a strongly homotopy Lie algebra (L_∞ –algebra) on A . This allows us to give a definition of a Batalin–Vilkovisky algebra up to homotopy. We also make a conjecture which is a generalization of the formality theorem of Kontsevich to the Batalin–Vilkovisky algebra level.

1. Introduction. Batalin–Vilkovisky algebras are graded commutative algebras with an extra structure given by a second order differential operator of square 0. The simplest example is the algebra of polyvector fields on a vector space \mathbb{R}^n . There is a second order square zero differential operator on this algebra, obtained as an operator dual to the de Rham differential on the algebra of differential forms [W]. Namely, if one chooses a volume form, one can pair differential forms to polyvector fields. This pairing lifts the de Rham differential to polyvector fields and gives a second order square 0 operator.

In this article, we consider the following generalization of the Batalin–Vilkovisky structure: we do not require that the operator be of the second order. The condition that this operator be a differential (of square 0) leads to the structure of L_∞ algebra [HS, GK, LS] (also called a Lie algebra up to homotopy or strong homotopy Lie algebra).

The notion of an algebra up to homotopy is a very useful tool in proving certain deep theorems (like formality theorem of Kontsevich [K]).

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The most important property of algebras up to homotopy is that all the higher homotopies vanish on their cohomology groups. Namely, let A be a \mathcal{P} algebra up to homotopy, with a differential d ; then the space of its cohomology $H(A, d)$ is a \mathcal{P} algebra, where \mathcal{P} means either Lie, or associative, or commutative, or Poisson, or Gerstenhaber, etc.

We propose a definition of a *commutative strong homotopy Batalin–Vilkovisky algebra*. Its noncommutative version leads to a generalized formality conjecture.

2. Batalin–Vilkovisky algebras (BV–algebras). We work in the category of \mathbb{Z} –graded algebras: $A = \bigoplus A_i$. We denote the degree of a homogeneous element a by $|a|$.

DEFINITION 1. *A map $D: A \rightarrow A$ is of degree $|D|$ if $D: A_l \rightarrow A_{l+|D|}$ for each l .*

The degree of an element $a_1 \otimes \cdots \otimes a_k \in A^{\otimes k}$ is a sum of degrees $\sum_{j=1}^k |a_j|$.

Let $\mu: A \otimes A \rightarrow A$ be a product on A (a priori noncommutative non-associative). Following Akman [A], from any map $D: A \rightarrow A$ we can inductively define the following linear maps $F_D^k: A^{\otimes k} \rightarrow A$:

$$\begin{aligned} F_D^1(a) &= Da, \\ F_D^2(a_1, a_2) &= D\mu(a_1, a_2) - \mu(Da_1, a_2) - (-1)^{|a_1||D|}\mu(a_1, Da_2), \\ &\dots \\ F_D^{n+1}(a_1, \dots, a_n, a_{n+1}) &= F_D^n(a_1, \dots, \mu(a_n, a_{n+1})) \\ &- \mu(F_D^n(a_1, \dots, a_{n-1}, a_n), a_{n+1}) \\ &- (-1)^{|a_n|(|a_1|+\dots+|a_{n-1}|+|D|)}\mu(a_n, F_D^n(a_1, \dots, a_{n-1}, a_{n+1})), \end{aligned} \tag{1}$$

DEFINITION 2. (Akman) *A linear map $D: A \rightarrow A$ is a differential operator of order not higher than k if $F_D^{k+1} \equiv 0$.*

DEFINITION 3. *A Batalin–Vilkovisky algebra (BV–algebra for short) is the following data (A, δ) : an associative \mathbb{Z} –graded commutative algebra A , and an operator δ of order 2, of degree -1 , and of square 0.*

DEFINITION 4. *A Gerstenhaber algebra is a graded space $A = \sum_i A_i$ with*

- *an associative graded commutative product of degree 1, $\mu: A_i \otimes A_j \rightarrow A_{i+j+1}$,*
 $\mu(a \otimes b) = a \cdot b$;
- *a graded Lie bracket of degree 0, $l: A_i \wedge A_j \rightarrow A_{i+j}$, $l(a \otimes b) = [a, b]$,*
- *such that the Lie adjoint action is an odd derivation with respect to the product:*

$$[a, b \cdot c] = [a, b] \cdot c + (-1)^{|b| \cdot |c|}[a, c] \cdot b.$$

LEMMA 1. *Any BV–algebra (A, δ) is a Gerstenhaber algebra with the Lie bracket given by F_δ^2 up to a sign:*

$$[a_1, a_2] = (-1)^{|a_1|}F_\delta^2(a_1, a_2) = (-1)^{|a_1|}(\delta\mu(a_1, a_2) - \mu(\delta a_1, a_2) - (-1)^{|a_1|}\mu(a_1, \delta a_2)), \tag{2}$$

for $a_1, a_2 \in A$.

A Gerstenhaber algebra which is also a BV–algebra is called “exact” [KS], since the bracket then is given by a δ –coboundary.

Remark 1. In the language of operads one can give another characterization of a Gerstenhaber algebra. A Gerstenhaber algebra is an algebra over the braid operad [G]. Then BV–algebras are algebras over the *cyclic* braid operad [GK]. In other words a Gerstenhaber algebra structure comes from a BV–operator if the corresponding operad is cyclic.

3. L_∞ –algebras. The brackets defined by the recursive formulas (1) have interesting relations. We need the notion of an L_∞ –algebra to describe them.

We view an L_∞ –algebra structure as a codifferential on the exterior coalgebra of a vector space [LM, P]. This is a generalization of the point of view on graded Lie algebras taken in [R].

Let V be a graded vector space. Define the exterior coalgebra structure on ΛV by giving the coproduct on the exterior algebra $\Delta : \Lambda V \rightarrow \Lambda V \otimes \Lambda V$:

$$\begin{aligned} \Delta v &= 0 \\ \Delta(v_1 \wedge \cdots \wedge v_n) &= \sum_{k=1}^{n-1} \sum_{\sigma \in Sh(k, n-k)} (-1)^\sigma \epsilon(\sigma) v_{\sigma(1)} \wedge \cdots \wedge v_{\sigma(k)} \otimes v_{\sigma(k+1)} \wedge \cdots \wedge v_{\sigma(n)}, \end{aligned} \tag{3}$$

where $Sh(k, n-k)$ are the unshuffles of type $(k, n-k)$, that is those permutations σ of n elements that $\sigma(i) < \sigma(i+1)$ when $i \neq k$. The sign $\epsilon(\sigma)$ is determined by the requirement that

$$v_1 \wedge \cdots \wedge v_n = (-1)^\sigma \epsilon(\sigma) v_{\sigma(1)} \wedge \cdots \wedge v_{\sigma(n)},$$

where $(-1)^\sigma$ is the sign of the permutation σ . Consider the suspension of the space V ; $sV = V[1]$.

DEFINITION 5. An L_∞ –algebra structure on a graded vector space V is a codifferential Q on $\Lambda(sV)$ of degree +1, that is a map $Q : \Lambda(sV) \rightarrow \Lambda(sV)[1]$ such that

- Q is a coderivation: $\Delta \circ Q = (Q \otimes 1 + 1 \otimes Q) \circ \Delta$,
- $Q \circ Q = 0$.

A coderivation Q_k is of k – th order if it is defined by a map $Q_k : \Lambda^k(sV) \rightarrow sV$. Then the coderivation property provides the extension of the action of Q_k on $\Lambda^n(sV)$ for any n :

$$Q_k : \Lambda^n(sV) \rightarrow \Lambda^{n-k+1}(sV) \quad \text{for } n \geq k, \quad \text{and} \quad Q_k : \Lambda^n(sV) \rightarrow 0 \quad \text{otherwise.}$$

This way we can consider sums of coderivations of various orders and define

$$\begin{aligned} Q(v_1 \wedge \cdots \wedge v_n) &= \sum_{k=1}^n \sum_{\sigma \in Sh(k, n-k)} (-1)^\sigma \epsilon(\sigma) Q_k(v_{\sigma(1)} \wedge \cdots \wedge v_{\sigma(k)}) \wedge v_{\sigma(k+1)} \wedge \cdots \wedge v_{\sigma(n)}, \end{aligned}$$

where $Q_k : \Lambda^k(sV) \rightarrow sV$ and $Q = \sum_{k=1}^{\infty} Q_k$. Then we can rewrite $Q^2 = 0$ as a sequence of equations for each n :

$$\sum_{k=1}^n (-1)^{k(n-k)} \sum_{\sigma \in Sh(k, n-k)} (-1)^\sigma \epsilon(\sigma) Q_{n-k+1} \left(Q_k(v_{\sigma(1)} \wedge \cdots \wedge v_{\sigma(k)}) \wedge v_{\sigma(k+1)} \wedge \cdots \wedge v_{\sigma(n)} \right) = 0.$$

Remark 2. An L_∞ -algebra V has the following geometrical meaning. For each $k : \Lambda^k(sV) = Sym^k V$, k -th symmetric power of the space V . If V is finite-dimensional, the symmetric powers of the space V are algebraic functions on the dual space V^* , which suggests that Q be a vector field on the dual space. Q_k then are Taylor coefficients of the odd vector field Q . Hence the map Q could be interpreted as an odd vector field of square 0. Such Q is called a homological vector field. The notion of a homological vector field appears in [V], in relation to the Gerstenhaber structure on the exterior algebra of an algebroid. A.S.Schwarz [Schw] calls supermanifolds with a homological vector field Q -manifolds.

4. Deformations of Batalin–Vilkovisky algebras. The brackets (1) are skew-symmetric when the product μ is graded commutative. Hence they can be restricted to the exterior powers of A :

$$F_D^k : \Lambda^k A \rightarrow A.$$

We now extend each linear map F_D^k to a coderivation of ΛA . We are going to show that the sum of all these coderivations is of square zero.

We need just another notion related to the degree:

DEFINITION 6. A linear map $D : A \rightarrow A$, where $A = \sum_i A_i$, is a \mathbb{Z} -graded vector space, is called odd if $D : A_i \rightarrow \sum_k A_{i+2k+1}$, $k \in \mathbb{Z}$ for each i .

PROPOSITION 2.¹ Consider an odd operator D on a graded commutative algebra (A, μ) . Then $D^2 = 0$ if and only if the sum of brackets $Q_D = \sum F_D^n$ is a codifferential on ΛA defining an L_∞ -structure, in other words $\sum_{k+l=n+1} F_D^k \circ F_D^l = 0$ for each $n \geq 1$.

P r o o f.

The "if" direction is obvious — it is given by the first equation in the series of equations above: $n = k = l = 1$. The proof of the "only if" part is a tedious calculation.

For a graded commutative algebra, Akman's definition of the brackets (1) coincides with the definition of Koszul [Ko], which we reformulate in the following terms. Define a product on the exterior algebra $M : A \wedge A \rightarrow A$ by $M(a_1 \wedge a_2) = a_1 \cdot a_2$. We can extend it to any exterior power $M(a_1 \wedge \dots \wedge a_n) = a_1 \cdot \dots \cdot a_n$. Then we can define an M -coproduct as a map $\Lambda A \rightarrow A \otimes A : \Delta_M = (M \otimes M)\Delta$:

$$\Delta_M(a_1 \wedge \dots \wedge a_n) = \sum_{k=1}^{n-1} \sum_{\sigma \in Sh(k, n-k)} (-1)^\sigma \epsilon(\sigma) a_{\sigma(1)} \wedge \dots \wedge a_{\sigma(k)} \otimes a_{\sigma(k+1)} \wedge \dots \wedge a_{\sigma(n)}.$$

Koszul's definition of multi-brackets is the following:

$$F_D^n(a_1 \wedge \dots \wedge a_n) = M(D \otimes 1)(a_1 \otimes 1 - 1 \otimes a_1) \cdots (a_n \otimes 1 - 1 \otimes a_n).$$

It can be reformulated as

$$F_D^n(a_1 \wedge \dots \wedge a_n) = M(D \otimes 1)\Delta_M(a_1 \wedge \dots \wedge a_n). \quad (4)$$

Then the lemma states that

$$(M(D \otimes 1)\Delta_M)(M(D \otimes 1)\Delta_M \otimes 1)\Delta = 0$$

¹While finishing this article, I learned about the paper [BDA] which contains a result similar to this proposition. However, the aim and the language of [BDA] are somewhat different.

iff $D^2 = 0$. We see that, in the left hand side of this equation there are either summands containing D^2 or summands which are present twice with opposite signs, due to the fact that the operator D is odd. ■

Notice that the brackets F_D^n form an L_∞ structure with homotopies with respect to the operator D , since the bracket F_D^2 gives a Lie algebra structure on $H(A, D)$, the cohomology of A with respect to the operator D .

Remark 3. *Order and degree.* There is a filtration on the algebra of differential operators defined by their order. For the operator D however we would like to obtain an unambiguous splitting $D = \sum_{n \geq 1} D_n$, where D_n are homogeneous operators of n -th order. All we know is that for the first D_1 , $F_{D_1}^n \equiv 0$ for $n > 1$. Then $F_{D_2}^n \equiv 0$, $n > 2$, but $F_{D_2}^2 \neq 0$, but there is already an ambiguity for defining D_2 .

To obtain the splitting into homogeneous operators we use the the degree.

D acts on a graded algebra, so D is a sum of operators of different degrees. It turns out that degree and order are in correspondence. It is natural to ask that the classical BV structure is a particular case of the generalized structure. Hence, we may start with the requirement that D_1 is of order 1 and of degree +1, and D_2 is of order 2 and of degree -1. This defines the grading: the operator D is unambiguously represented as a sum of homogeneous operators.

LEMMA 3. *Consider an operator $D : A \rightarrow A$, such that $D^2 = 0$ and assume that D is the sum of an operator of order 1 and of degree +1, $D_1 : A_\bullet \rightarrow A_{\bullet+1}$ and higher order operators. Then D can be represented as a sum*

$$D = \sum_{n \geq 1} D_n$$

where each D_n is an operator of order n and of degree $3 - 2n$, (in other words: $F_{D_n}^{n+1} \equiv 0$ and $D_n : A_\bullet \rightarrow A_{\bullet+3-2n}$.)

This lemma is an easy consequence of the condition $D^2 = 0$. Of course we can also weigh each operator of a certain degree by some corresponding power of \hbar .

Remark 4. *Differential BV-algebra.* If the operator D is of order n we see that the highest homotopy is given by the n -th bracket.

In particular, the second bracket

$$F_D^2(a, b) = D(ab) - Da b - (-1)^{|a|} a Db$$

gives a classical BV-bracket for the case when $D_n = 0$ for $n \geq 3$. Then the operator D is of order 2, that is $D = D_1 + D_2$. Such a D describes the case of a differential BV-algebra which is the starting point of [BK], see also [M].

On the other hand, given a differential algebra (A, μ, d) with additional second order differential operator δ one can define a generalized BV-algebra by adding operators of higher order to $d + \delta$, requiring that their sum

$$D = D_1 + D_2 + D_3 + \dots$$

be of square 0, (here $D_1 = d, D_2 = \delta$). Comparing with the differential BV-algebra case we see that there are still two differentials on the generalized algebra, D and D_1 (the fact that D_1 is a differential follows from $D^2 = 0$). The following lemma is easy to prove.

LEMMA 4. *An operator on the algebra (A, μ) , $D = \sum D_n$, such that $D^2 = 0$ is a derivation of the bracket $[a, b] = (-1)^{|a|} F_D^2(a, b)$, but not of the product μ , while D_1 is a derivation of the product but not of the bracket.*

Remark 5. *Generalization to Leibniz algebras.* If we start with a non-commutative associative algebra structure, the brackets F_D^n (1) still make sense for a differential operator D , (Definition 2). However since there is no antisymmetry condition anymore, the homotopy structure we get from $D^2 = 0$ is not L_∞ . Instead, one gets Leib_∞ -algebra ([Li]), homotopy version of a Leibniz algebra ([L]).

5. Commutative BV_∞ -algebra. We now propose a definition of a strong homotopy Batalin–Vilkovisky algebra (BV_∞ -algebra). Here we will restrict ourselves to the case of commutative algebras.

DEFINITION 7. *A triple (A, d, D) is a commutative BV_∞ -algebra when*

- *A is a graded commutative algebra,*
- *$d : A \rightarrow A$ is a degree 1 differential of the algebra A ,*
- *$D : A \rightarrow A$ is an odd square zero differential operator, such that the degree of $D - d$ is negative.*

There are various ways to define a BV_∞ -algebra. In our definition the commutative structure is preserved. One can imagine deforming the commutative structure as well. In Remark 5 we mentioned one of the generalizations, the one leading to the Leib_∞ -algebras. However, all definitions should lead to the following property — a BV_∞ -algebra should have a BV-algebra structure on its cohomology. Indeed in our case:

THEOREM 5. *The cohomology $H(A, d)$ of a commutative BV_∞ -algebra (A, d, D) is a BV-algebra.*

Proof. Consider the condition $D^2 = 0$. Since the degree of $D - d$ is negative, it means that D is the sum of d , a derivation of degree +1, and of negative degree operators: $D_2 + D_3 + \dots$. From the fact that $D^2 = 0$ follows that $dd_2 + D_2d = 0$, that is D_2 acts on the cohomology $H(A, d)$. Moreover, $D_2^2 = dD_3 + D_3d$, which means that on the cohomology $H(A, d)$, $D_2^2 = 0$. Since D_2 is a second order operator, it defines the structure of a BV-algebra on the cohomology $H(A, d)$. ■

6. Possible applications. It would be interesting if we could extend the formality theorem of Kontsevich [K] to the quasi-isomorphism of BV_∞ -algebras.

The formality theorem of Kontsevich claims that two differential graded Lie algebras defined on any manifold M , the algebra of local Hochschild cochains and the algebra of polyvector fields, are quasi-isomorphic as L_∞ -algebras.

Let A denote the algebra of smooth functions on M , $A = C^\infty(M)$, with the pointwise commutative product. Let D be the algebra of polydifferential operators on M : $D = \bigoplus D^k$, $D^k = \text{Hom}_{loc}(A^{\otimes k+1}, A)$, and let T be the algebra of polyvector fields on M : $T = \bigoplus T^k$, $T^k = \Gamma(\Lambda^{k+1}TM)$, both with the degree shifted by 1.

Then there are the following corresponding structures on these two algebras:

Graded space	Polyvector fields	Polydifferential Operators
	$T = \bigoplus T^\bullet = \bigoplus \Gamma(\Lambda^{\bullet+1} TM)$	$D = \bigoplus D^\bullet = \bigoplus \text{Hom}_{loc}(A^{\bullet+1}, A)$
Differential	$d = 0$	Hochschild $B : D^\bullet \rightarrow D^{\bullet+1}$
Lie bracket	Schouten–Nijenhuis	Gerstenhaber
Product	\wedge — exterior product	\cup — cup product
BV-operator	δ	??

One can check that T is in fact a Gerstenhaber algebra while D is a Gerstenhaber algebra up to homotopy, since the \cup -product on D is commutative only up to homotopy. However the Lie adjoint action on D is still an odd derivation with respect to the product.

Recently Dima Tamarkin [T] proved a generalization of Kontsevich’s formality theorem, he showed the existence of a morphism of Gerstenhaber algebras up to homotopy between T and D . In other words, the algebra of polydifferential operators is G-formal: the algebra of polydifferential operators and the algebra of polyvector fields are quasi-isomorphic as G_∞ -algebras (Gerstenhaber algebras up to homotopy).

We would like to see if one could prove the formality not only as G_∞ -algebras but as BV_∞ -algebras.

If the first Chern class of a manifold M is 0, then the algebra of polyvector fields on M is a BV -algebra. There is a one-to-one correspondence between BV -structures on a manifold M and flat connections on the determinant bundle (bundle of polyvector fields in the top degree: $\Lambda^{top} TM$). Such a structure on real manifolds was studied in many papers [Ko, Xu, H, W], on Calabi–Yau manifolds one can refer to [Sch, BK]. We conjecture that in these cases there should be some BV_∞ -structure leading to the Gerstenhaber bracket on polydifferential operators.

CONJECTURE 1. *There is a structure of a BV_∞ -algebra on the space of polydifferential operators on a manifold with a zero first Chern class.*

CONJECTURE 2. *The BV_∞ -algebra of polydifferential operators on a manifold is formal: it is quasi-isomorphic as a BV_∞ -algebra to its cohomology, the BV -algebra of polyvector fields.*

For these conjectures we will need a more general definition than definition 7, since the cup product on the algebra of polydifferential operators is commutative only up to homotopy. This generalization should not pose a problem, it will be done in a subsequent article.

From the conjecture, would follow the Maurer–Cartan equation (MC-equations) for the BV operator on the algebra of polydifferential operators (probably tensored with some graded commutative algebra). Moreover, a quasi-isomorphism of BV_∞ -algebras would map solutions of the MC-equation on one algebra to solutions of the MC-equation on the other algebra.

We know from [BK] that formal moduli space of solutions to the MC-equation, modulo gauge invariance on polyvector fields tensored with the algebra of anti-holomorphic forms on a Calabi–Yau manifold carries a natural structure of Frobenius manifold. If a

quasi-isomorphism $T \rightarrow D$ of BV–structures up to homotopy exists it would define a Frobenius manifold structure on the solutions of MC–equation modulo gauge invariance on polydifferential operators tensored with the algebra of anti-holomorphic forms.

Another instance where we could expect to find generalized BV–structures is in the theory of vertex operator algebras. There is a structure of a Batalin–Vilkovisky algebra on the cohomology of a vertex operator algebra (see [LZ], [PS]). It is natural to ask what structure exists on the vertex operator algebra itself. This shows the need for a suitable definition of a BV_∞ –structure. Besides it should fit into the general picture outlined by Stasheff [S].

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